

## TOPOLOGICAL CONJUGACIES OF PIECEWISE MONOTONE INTERVAL MAPS

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**ABSTRACT.** Our aim is to establish the topological conjugacy between piecewise monotone expansive interval maps and piecewise linear maps. First, we are concerned with maps satisfying a Markov condition and next with those admitting a certain countable partition. Finally, we compute the topological entropy in the Markov case.

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**1. Introduction and preliminaries.** Let  $I$  be a closed interval in  $\mathbb{R}$ , which is usually taken to be the interval  $[0, 1]$ , and  $f : I \rightarrow I$  a mapping. The iterates of  $f$  are the maps  $f^n$  defined inductively by  $f^0 = \text{id}_{\mathbb{R}}$ ,  $f^1 = f$ ,  $f^{n+1} = f^n \circ f$ . The (forward or positive) orbit of a point  $x \in I$  is the set  $O(x) = \{f^n(x) : n \in \mathbb{N}\}$ . The  $\omega$ -limit set of  $x$  is the set of the limit points of  $O(x)$  and is denoted by  $\omega(x)$ . Two maps  $f : I \rightarrow I$  and  $g : J \rightarrow J$  ( $J$  a closed interval in  $\mathbb{R}$ ) are called *topologically conjugate* if there exists a homeomorphism  $h : I \rightarrow J$  such that  $h \circ f = g \circ h$ .

The study of topological conjugacies has commenced with Poincaré in the 1880s. He considered homeomorphisms  $f : S^1 \rightarrow S^1$  of the unit circle  $S^1 = \mathbb{R}/\mathbb{Z}$  with no periodic points and showed that there exist a rotation  $R : S^1 \rightarrow S^1$  and a continuous, surjective and monotone map  $h : S^1 \rightarrow S^1$  such that  $h \circ f = R \circ h$ , that is,  $f$  and  $R$  are *topologically semiconjugate*. Similar results for piecewise monotone interval maps  $f$  were proved later by Parry [10] and Milnor and Thurston [9]. According to them, if  $f : I \rightarrow I$  is continuous, piecewise monotone with positive topological entropy  $h(f)$ , then there exists a piecewise linear map  $T : [0, 1] \rightarrow [0, 1]$  with slope  $\pm \exp(h(f))$  such that  $f, T$  are topologically semiconjugate.  $f$  and  $T$  become topologically conjugate, if there are no attracting periodic points and no wandering intervals for  $f$ . The nonexistence of wandering intervals has been proved for a large class of functions satisfying some mild smoothness conditions (see [3, 6, 7, 8]).

In this paper, we consider the family  $\mathcal{M}$  of functions which are piecewise monotone (but not necessarily continuous) and expansive. Particularly,  $f : [0, 1] \rightarrow [0, 1]$  belongs to the family  $\mathcal{M}$  if there exists a partition  $0 = a_0 < a_1 < \dots < a_r = 1$  ( $r \geq 2$ ) of  $[0, 1]$  such that  $f|_{[a_{i-1}, a_i]}$  ( $i = 1, 2, \dots, r$ ) is a monotone  $C^1$  function and satisfy the following Markov condition: for every  $i = 1, 2, \dots, r$ , there exist  $p(i), q(i) \in \{0, 1, \dots, r\}$  with  $p(i) < q(i)$  such that  $f(a_{i-1}, a_i) = (a_{p(i)}, a_{q(i)})$ . Furthermore, we assume that there is  $\lambda > 1$  such that  $|f'(x)| \geq \lambda$ , for almost every  $x \in [0, 1]$ , in which case,  $f$  is called *expansive*. Our aim is to show that every  $f \in \mathcal{M}$  is topologically conjugate to a map  $T$  which is linear on each interval  $[(i-1)/r, i/r]$  ( $i = 1, 2, \dots, r$ ). Next, we

consider the class  $\mathcal{M}_\infty$  where  $[0, 1]$  accepts a countable partition accumulating to 1. Finally, in the last section, we compute the topological entropy for continuous maps in  $\mathcal{M}$ .

**NOTATION.** If  $J \subset [0, 1]$  is an interval, we denote  $|J|$  its length.

**2. Topological conjugacies for maps in  $\mathcal{M}$ .** In this section, we study the topological conjugacies for maps  $f \in \mathcal{M}$ . If  $0 = a_0 < a_1 < \dots < a_r = 1$  is the partition corresponding to  $f$ , we say that  $f$  is of *order*  $r$ . The points of the partition are called *critical points* of  $f$ . We denote by  $I_1, \dots, I_r$  the intervals of the partition, that is,  $I_j = (a_{j-1}, a_j)$ . We assume that these intervals are maximal in the sense that if  $I$  is an interval which strictly contains one of them, then  $f|I$  is neither continuous nor monotone. Also, we denote by  $f_j$  the restriction of  $f$  to  $I_j$ . Finally, we denote by  $F_{j_1 j_2 \dots j_k}$  the composition  $f_{j_1}^{-1} \circ f_{j_2}^{-1} \circ \dots \circ f_{j_k}^{-1}$ . Note that  $F_{j_1 j_2 \dots j_k}$  is not necessarily defined for every (finite) sequence  $j_1 j_2 \dots j_k$ . Moreover,  $F_{j_1 j_2 \dots j_k}(x)$  is the unique point  $y \in I_{j_1}$  such that  $f(y) \in I_{j_2}, \dots, f^{k-1}(y) \in I_{j_k}$  and  $f^k(y) = x$ .

An open interval  $J \subset [0, 1]$  is called a *branch* of  $f^n$  if  $f^n|J$  is continuous, monotone and  $J$  is maximal with these properties. The set of branches of  $f^n$  is denoted by  $B_n(f)$ . Moreover, we define the sets

$$\begin{aligned} \mathcal{C}_n(f) &= \bigcup_{j=0}^r \bigcup_{i=0}^{n-1} f^{-i}(a_j), \quad n = 1, 2, \dots, \\ \mathcal{C}(f) &= \bigcup_{j=0}^r \bigcup_{i=0}^{\infty} f^{-i}(a_j). \end{aligned} \tag{2.1}$$

Frequently, we write  $\mathcal{C}_n$  and  $\mathcal{C}$  instead of  $\mathcal{C}_n(f)$  and  $\mathcal{C}(f)$ .

In what follows, we introduce some notions from symbolic dynamics. To each point  $x$  of  $\mathcal{C}$ , there corresponds a sequence of symbols which is related with the order of the points of  $O(x)$ .

**DEFINITION 2.1.** The *itinerary* of  $x \in \mathcal{C}$  with respect to  $f \in \mathcal{M}$  is a sequence  $\underline{i}_f(x) = \{i_n(x)\}_{n=0}^\infty$ , where

$$i_n(x) = \begin{cases} j, & \text{if } f^n(x) \in I_j, \\ \frac{2j+1}{2}, & \text{if } f^n(x) = a_j. \end{cases} \tag{2.2}$$

An interesting notion in symbolic dynamics is the *shift map*  $\sigma$ : if  $\underline{x} = \{x_n\}_{n=0}^\infty$ , then  $\sigma(\underline{x}) = \underline{y}$ , where  $\underline{y} = \{y_n\}_{n=0}^\infty$ . Inductively, we have  $\sigma^k(\underline{x}) = \{x_n\}_{n=k}^\infty$ . To each  $f \in \mathcal{M}$  of order  $r$ , we associate a subset of  $\{1/2, 1, 3/2, \dots, r, (2r+1)/2\}^{\mathbb{N}}$ . We describe this set in the following definition.

**DEFINITION 2.2.** Let  $f \in \mathcal{M}$  with partition  $0 = a_0 < a_1 < \dots < a_r = 1$ . We define the set of sequences  $\Sigma(f) = \{\underline{a} : \underline{a} = \{x_n\}_{n=0}^\infty\}$  with entries from the set  $\{1/2, 1, 3/2, \dots, r, (2r+1)/2\}$ , which satisfy the following conditions:

(i) Let  $\underline{a} = \{x_n\} \in \Sigma(f)$ . Then there exists an entry  $x_n$  of  $\underline{a}$  of the form  $(2k+1)/2$ , where  $k = 0, 1, \dots, r$ . Furthermore, if  $x_N$  is the first entry of  $\underline{a}$  with this property, then  $\sigma^N(\underline{a}) = \underline{i}_f(a_k)$ .

(ii) If  $n < N - 1$  and  $x_n = j$ , then  $p(j) + 1 \leq x_{n+1} \leq q(j)$ .

It is possible to define an order on the set  $\dot{I}_f(\mathcal{C})$  which is consistent with the natural order of real numbers. Two sequences of symbols  $\underline{x} = \{x_n\}_{n=0}^\infty$  and  $\underline{y} = \{y_n\}_{n=0}^\infty$  belonging to  $\{1/2, 1, 3/2, \dots, r, (2r + 1)/2\}^\mathbb{N}$  are called to have *discrepancy*  $n$  if  $x_i = y_i$ , for  $i = 0, 1, \dots, n - 1$ , and  $x_n \neq y_n$ . If the itineraries of two points of  $\mathcal{C}$  have discrepancy  $n$ , then the first  $n$  points of their orbits are visiting simultaneously the same intervals of  $B_1(f)$ . Moreover, we define  $1/2 < 1 < 3/2 < \dots < r < (2r + 1)/2$ .

**DEFINITION 2.3.** Let  $f \in \mathcal{M}$  and  $x, y \in \mathcal{C}$  with  $x \neq y$ . We assume that itineraries  $\dot{I}_f(x)$  and  $\dot{I}_f(y)$  have discrepancy  $n$  and that  $f$  is decreasing in  $k$  common intervals.

- (i) When  $k$  is even, then  $\dot{I}_f(x) < \dot{I}_f(y)$  if and only if  $i_n(x) < i_n(y)$ .
- (ii) When  $k$  is odd, then  $\dot{I}_f(x) < \dot{I}_f(y)$  if and only if  $i_n(y) < i_n(x)$ .

**LEMMA 2.4.** Let  $f \in \mathcal{M}$  be of order  $r$  and let  $x, y \in \mathcal{C}$  with  $x \neq y$ . Then  $\dot{I}_f(x) < \dot{I}_f(y)$  if and only if  $x < y$ .

**PROOF.** We assume that itineraries  $\dot{I}_f(x)$  and  $\dot{I}_f(y)$  have discrepancy  $n$ . That is,  $i_k(x) = i_k(y) = j_k$ , for  $k = 0, 1, \dots, n - 1$ , and  $i_n(x) \neq i_n(y)$ . We claim that  $j_0, j_1, \dots, j_{n-1}$  are not of the form  $(2s + 1)/2$ . To prove this, we assume the contrary, whence  $\dot{I}_f(x) = \dot{I}_f(y)$ , which is a contradiction, since  $i_n(x) \neq i_n(y)$ . From Definition 2.1,  $x, y$  belong to  $I_{j_0}$  and successively visit the intervals  $I_{j_1}, \dots, I_{j_{n-1}}$ . So, we can write  $x = F_{j_0 j_1 \dots j_{n-1}}(f^n(x))$  and  $y = F_{j_0 j_1 \dots j_{n-1}}(f^n(y))$ . We assume that  $f$  is decreasing in  $k$  intervals among  $I_{j_0}, I_{j_1}, \dots, I_{j_{n-1}}$ . There are two cases.

(i) When  $k$  is even, then  $F_{j_0 j_1 \dots j_{n-1}}$  is increasing. Assume that  $\dot{I}_f(x) < \dot{I}_f(y)$ , then from Definition 2.3 we have  $i_n(x) < i_n(y)$ . This means that  $f^n(x) < f^n(y)$  and, hence,  $x = F_{j_0 j_1 \dots j_{n-1}}(f^n(x)) < y = F_{j_0 j_1 \dots j_{n-1}}(f^n(y))$ .

(ii) When  $k$  is odd, then  $F_{j_0 j_1 \dots j_{n-1}}$  is decreasing. Assume that  $\dot{I}_f(x) < \dot{I}_f(y)$ , then from Definition 2.3 we have  $i_n(y) < i_n(x)$ . This means that  $f^n(x) > f^n(y)$  and, hence,  $x = F_{j_0 j_1 \dots j_{n-1}}(f^n(x)) < y = F_{j_0 j_1 \dots j_{n-1}}(f^n(y))$ . □

**LEMMA 2.5.** Let  $f \in \mathcal{M}$  be of order  $r$ . The map  $\dot{I}_f : \mathcal{C} \rightarrow \Sigma(f)$  is a bijection.

**PROOF.** Let  $x, y \in \mathcal{C}$  with  $\dot{I}_f(x) = \dot{I}_f(y)$ . Let  $k, m$  be the minimal integers for which  $f^k(x), f^m(y)$  are critical points of  $f$ . Assume that  $k \neq m$  (let  $k < m$ ). Since  $f^k(x)$  is a critical point, then  $f^{k+1}(x) = 0$  or  $1$ , and, so,  $i_{k+1}(x) = 1/2$  or  $(2r + 1)/2$ . On the other hand,  $i_k(y) = 1, 2, \dots, r$ , and, hence,  $i_{k+1}(y) \neq 1/2$  and  $i_{k+1}(y) \neq (2r + 1)/2$ , which is a contradiction, since  $i_{k+1}(x) = i_{k+1}(y)$ . So,  $k = m$ . Furthermore, we observe that  $f^k(x) = f^k(y)$ , since  $i_k(x) = i_k(y)$  and it is of the form  $(2j + 1)/2$ . Consequently,  $f^k(x) = f^k(y) = a_j$ .

Assume that  $i_n(x) = i_n(y) = j_n \in \mathbb{N}$ , for  $n = 0, 1, \dots, k - 1$ . From Definition 2.1,  $x, y$  belong to  $I_{j_0}$  and successively visit the intervals  $I_{j_1}, \dots, I_{j_{k-1}}$ . So, we can write  $x = F_{j_0 j_1 \dots j_{k-1}}(f^k(x))$  and  $y = F_{j_0 j_1 \dots j_{k-1}}(f^k(y))$ . Since  $f^k(x) = f^k(y)$ , we have  $x = y$ . Thus,  $\dot{I}_f$  is injective.

Let  $\underline{a} = \{x_n\} \in \Sigma(f)$ . We shall show that there exists an  $x \in \mathcal{C}$  such that  $\dot{I}_f(x) = \underline{a}$ . From Definition 2.2, an entry of the sequence  $\underline{a}$  is of the form  $(2k + 1)/2$ . Let  $x_n$  be the first entry with this property. Then  $x = F_{x_0 x_1 \dots x_{n-1}}(a_k)$  satisfies the desired property. □

**PROPOSITION 2.6.** *Let  $f \in \mathcal{M}$  be of order  $r$ . Then  $\mathcal{C}$  is dense in  $[0, 1]$ .*

**PROOF.** Let  $\tilde{J} \subset [0, 1]$  be an open interval such that  $\tilde{J} \cap \mathcal{C} = \emptyset$ . First, we show that  $f^n(\tilde{J}) \cap \mathcal{C} = \emptyset$ , for  $n \in \mathbb{N}$ . We assume, in the contrary, that there exists  $x \in f^n(\tilde{J}) \cap \mathcal{C}$ , then there is  $y \in \tilde{J}$  such that  $x = f^n(y)$ . But,  $f^m(x) = a_k$ , for some  $m \in \mathbb{N}$  and  $k = 0, 1, 2, \dots, r$ , since  $x \in \mathcal{C}$ . So,  $f^{m+n}(y) = f^m(x) = a_k$ , that is,  $y \in \mathcal{C}$ , which is a contradiction, since  $\tilde{J} \cap \mathcal{C} = \emptyset$ .

As  $f^n(\tilde{J}) \cap \mathcal{C} = \emptyset$ , for  $n \in \mathbb{N}$ , it turns out that  $f$  is monotone and  $C^1$  on each interval  $\tilde{J}, f(\tilde{J}), f^2(\tilde{J}), \dots$ .

We prove by induction that  $|f^n(\tilde{J})| \geq \lambda^n |\tilde{J}|$ , for  $n \geq 1$ . From the mean value theorem and since  $f|_{\tilde{J}}$  is monotone, we have  $|f(\tilde{J})|/|\tilde{J}| = |f'(a)|$ , for some  $a \in \tilde{J}$ . But,  $|f'(a)| \geq \lambda$  and, hence  $|f(\tilde{J})| \geq \lambda |\tilde{J}|$ . We assume that the claim is true for  $k < n$ . From the mean value theorem and since  $f|_{f^{n-1}(\tilde{J})}$  is monotone, we have  $|f^n(\tilde{J})|/|f^{n-1}(\tilde{J})| = |f'(a_1)| \geq \lambda$ , for some  $a_1 \in f^{n-1}(\tilde{J})$ . From the induction assumption, we have  $|f^{n-1}(\tilde{J})| \geq \lambda^{n-1} |\tilde{J}|$ . Combining the last two inequalities, we have  $|f^n(\tilde{J})| \geq \lambda^n |\tilde{J}|$ .

Thus, for some  $n \in \mathbb{N}$ ,  $\lambda^n |\tilde{J}| > 1$ , which is a contradiction, since  $|f^n(\tilde{J})| \leq 1$ .  $\square$

**THEOREM 2.7.** *Let  $f \in \mathcal{M}$  be of order  $r$  with partition  $0 = a_0 < a_1 < \dots < a_r = 1$ . We consider the map  $T \in \mathcal{M}$  with partition  $0 < 1/r < 2/r < \dots < (r-1)/r < 1$  which is linear in each interval  $[(i-1)/r, i/r]$  and  $T((i-1)/r, i/r) = (p(i)/r, q(i)/r)$ . Furthermore,  $T|_{[(i-1)/r, i/r]}$  is of the same monotonicity type with  $f|_{[a_{i-1}, a_i]}$  and it is continuous, from the right or from the left at  $i/r$ , when  $f$  is continuous, from the right or from the left at  $a_i$ , respectively. Then  $f$  and  $T$  are topologically conjugate. (Figure 2.1)*

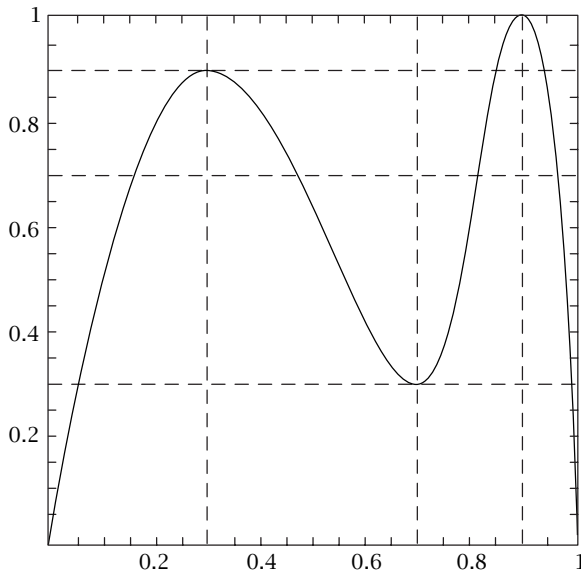


FIGURE 2.1.

**PROOF.** From Definition 2.2, we have  $\Sigma(f) = \Sigma(T)$ . With this observation and since  $\dot{i}_f$  and  $\dot{i}_T$  are bijections (Lemma 2.5), we can define a correspondence  $h : \mathcal{C}(f) \rightarrow$

$\mathcal{C}(T)$ , which is an order preserving bijection and such that  $h \circ f = T \circ h$ . For  $x \in \mathcal{C}(f)$ , we define  $h(x)$  to be the unique element of  $\mathcal{C}(T)$ , for which  $\dot{h}_f(x) = \dot{h}_T(h(x))$ . Equivalently,  $h = \dot{h}_T^{-1} \circ \dot{h}_f$ . But since  $\dot{h}_f$  and  $\dot{h}_T$  are bijections, we have that  $h$  is also a bijection. From Lemma 2.4,  $\dot{h}_f$  and  $\dot{h}_T$  are order preserving maps and, so, the same holds for  $h$ .

Let  $x \in \mathcal{C}(f)$ . We shall show that  $h \circ f(x)$  and  $T \circ h(x)$  have the same itinerary with respect to  $T$ . Indeed,

$$\dot{h}_T(h(f(x))) = \dot{h}_f(f(x)) = \sigma(\dot{h}_f(x)). \tag{2.3}$$

On the other hand,

$$\dot{h}_T(T(h(x))) = \sigma(\dot{h}_T(h(x))) = \sigma(\dot{h}_f(x)). \tag{2.4}$$

Since  $\dot{h}_T$  is an injection, we have that  $h \circ f(x) = T \circ h(x)$ .

Since  $\mathcal{C}(f)$  and  $\mathcal{C}(T)$  are dense in  $[0,1]$  (Proposition 2.6),  $h$  can extend to a homeomorphism  $\tilde{h}: [0,1] \rightarrow [0,1]$  such that  $\tilde{h} \circ f = T \circ \tilde{h}$ . □

**3. Topological conjugacies for maps in  $\mathcal{M}_\infty$ .** In the previous sections, we had studied functions with a finite partition. Here we study a special class of functions with countable partition. Some modifications are necessary.

**DEFINITION 3.1.** A map  $f : [0,1] \rightarrow [0,1]$  belongs to the class of functions  $\mathcal{M}_\infty$  if there exists a sequence of real numbers  $\{a_n\}_{n=0}^\infty$  with  $0 = a_0 < a_1 < a_2 < \dots$  and  $\lim_{n \rightarrow \infty} a_n = 1$  such that:

- (i)  $f$  is  $C^1$  and monotone on each interval  $[a_{i-1}, a_i]$  of the partition.
- (ii) For every  $i \in \mathbb{N}^*$ , there exist unique  $p(i), q(i) \in \mathbb{N}$  such that  $f(a_{i-1}, a_i) = (a_{p(i)}, a_{q(i)})$ .
- (iii) There exists  $\lambda > 1$  such that  $|f'(x)| \geq \lambda$ , for every  $x \neq a_i$ .

In this case,  $\mathcal{C}(f) = \cup_{j=0}^\infty \cup_{i=0}^\infty f^{-i}(a_j)$ .

**DEFINITION 3.2.** Let  $f \in \mathcal{M}_\infty$  with partition  $0 = a_0 < a_1 < a_2 < \dots < 1$ . We define the set of sequences  $\Sigma_\infty(f) = \{\underline{a} : \underline{a} = \{x_n\}_{n=0}^\infty\}$  with entries from  $\{1/2, 1, 3/2, \dots\}$ , which satisfy the following conditions:

- (i) Let  $\underline{a} = \{x_n\} \in \Sigma_\infty(f)$ . Then there exists an entry  $x_n$  of  $\underline{a}$ , of the form  $(2k+1)/2$ , where  $k = 0, 1, \dots$ . Furthermore, if  $x_N$  is the first entry of  $\underline{a}$  with this property, then  $\sigma^N(\underline{a}) = \dot{h}_f(a_k)$ .
- (ii) If  $n < N - 1$  and  $x_n = j$ , then  $p(j) + 1 \leq x_{n+1} \leq q(j)$ .

**THEOREM 3.3.** Let  $f \in \mathcal{M}_\infty$  with partition  $0 = a_0 < a_1 < a_2 < \dots < 1$ . We consider the map  $T \in \mathcal{M}_\infty$  with partition  $0 < 1/2 < 2/3 < 3/4 < \dots < 1$  which is linear in each interval  $[(i-1)/i, i/(i+1)]$  and  $T((i-1)/i, i/(i+1)) = (p(i)/(p(i)+1), q(i)/(q(i)+1))$ . Furthermore,  $T \upharpoonright [(i-1)/i, i/(i+1)]$  is of the same monotonicity type with  $f \upharpoonright [a_{i-1}, a_i]$  and it is continuous, from the right or from the left at  $i/(i+1)$ , when  $f$  is continuous, from the right or from the left at  $a_i$ , respectively. Then  $f$  and  $T$  are topologically conjugate.

**PROOF.** The proof of this theorem is the same as the proof of Theorem 2.7. □

**4. Computation of topological entropy for continuous Markov maps.** Topological entropy is a measure of the dynamical complexity of a map and it is a topological invariant. There is an important theorem connecting topological entropy with the number  $c_n$  of maximal intervals of monotonicity of the iterate  $f^n$  (see [1, 4]).

**THEOREM 4.1** (Misiurewicz-Szlenk). *Let  $f : I \rightarrow I$  be a continuous, piecewise monotone map. Then the topological entropy of  $f$  is equal to the number*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \ln c_n. \tag{4.1}$$

As a corollary of the above theorem, if  $f$  is a piecewise linear map with slope  $\pm s$ , then the topological entropy of  $f$  is equal to  $\max\{0, \ln s\}$ .

Let  $f$  be a continuous map in  $\mathcal{M}$  and  $T$  as in Theorem 2.7. The slope of  $T$  is not necessarily constant. Observe that Theorem 2.7 still holds if we change the partition  $0 < 1/r < 2/r < \dots < (r-1)/r < 1$  with any other partition  $0 = b_0 < b_1 < \dots < b_r = 1$  of  $[0, 1]$ . So, it is natural to ask the following question. Can we find a partition  $0 = b_0 < b_1 < \dots < b_r = 1$  of  $[0, 1]$  such that  $|b_{q(i)} - b_{p(i)}| / (b_i - b_{i-1})$  is constant?

To answer this question, to each  $f \in \mathcal{M}$ , we associate an  $r \times r$  matrix  $A = [a_{ij}]$  defined by

$$a_{ij} = \begin{cases} 0, & \text{if } (b_{i-1}, b_i) \cap f^{-1}(b_{j-1}, b_j) = \emptyset, \\ 1, & \text{if } (b_{i-1}, b_i) \cap f^{-1}(b_{j-1}, b_j) \neq \emptyset. \end{cases} \tag{4.2}$$

Observe that  $A$  is nonnegative. According to the *Perron-Frobenius theorem*, there exists a unique nonnegative eigenvalue  $s \geq 0$ , which is maximal in absolute value among all the other eigenvalues and corresponding to a nonnegative eigenvector (see Gantmacher [5]).

**PROPOSITION 4.2.** *Assume that  $f \in \mathcal{M}$  is a continuous map of order  $r$ ,  $A$  is the corresponding matrix, and  $s$  is the "maximal" eigenvalue of  $A$ .*

- (a) *If  $s > 1$  and the corresponding eigenvector is positive, then the topological entropy of  $f$  is  $\ln s$ .*
- (b) *If  $s \leq 1$  or at least one component of the corresponding eigenvector is zero, then the topological entropy of  $f$  is zero.*

**PROOF.** (a) Assume that there exist a partition  $0 = b_0 < b_1 < \dots < b_r = 1$  and a constant  $s > 1$  such that  $|T(b_{i-1}, b_i)| = s|(b_{i-1}, b_i)|$ , for  $i = 1, 2, \dots, r$ . If we let  $x_i = b_i - b_{i-1} > 0$ , the above relation gives

$$x_{p(i)+1} + x_{p(i)+2} + \dots + x_{q(i)} = s x_i, \quad i = 1, 2, \dots, r, \tag{4.3}$$

or, equivalently,

$$Ax = sx, \quad \text{where } x = (x_1, \dots, x_r)^T. \tag{4.4}$$

Thus, there exist a partition  $0 = b_0 < b_1 < \dots < b_r = 1$  and a constant  $s > 1$  such that  $|T(b_{i-1}, b_i)| = s|(b_{i-1}, b_i)|$ , for  $i = 1, 2, \dots, r$ , if and only if (a) holds.

(b) Assume on the contrary that  $h(f) > 0$ . Then  $f$  is conjugate to a piecewise linear

map with constant slope [9]. It follows that there exist a partition  $0 = b_0 < b_1 < \dots < b_r = 1$  and a constant  $s > 1$  such that  $|T(b_{i-1}, b_i)| = s|b_{i-1}, b_i|$ , for  $i = 1, 2, \dots, r$ . This is equivalent to (a), which contradicts (b).  $\square$

**REMARK 4.3.** There is a similar result in [2]. The proof we give here is more simple and is based heavily on Theorem 2.7.

The above proposition gives a method to construct the partition  $0 = b_0 < b_1 < \dots < b_r = 1$ , when we are in case (a). Assume that  $(u_1, u_2, \dots, u_r)^T$  is an eigenvector corresponding to the maximal eigenvalue. Then  $b_0 = 0$  and

$$b_k = \frac{\sum_{i=1}^k u_i}{\sum_{i=1}^r u_i} \quad \text{for } k = 1, 2, \dots, r. \tag{4.5}$$

Consider the map  $f \in \mathcal{M}$  whose graph is shown in Figure 2.1. According to Theorem 2.7,  $f$  is topologically conjugate with  $T$  which is piecewise linear (the graph of  $T$  is shown in Figure 4.1). The associated matrix to  $f$  is

$$A = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}. \tag{4.6}$$

The maximal eigenvalue is  $s = 2.8393$  and an eigenvector is

$$(0.6478, 0.4196, 0.7718, 1)^T. \tag{4.7}$$

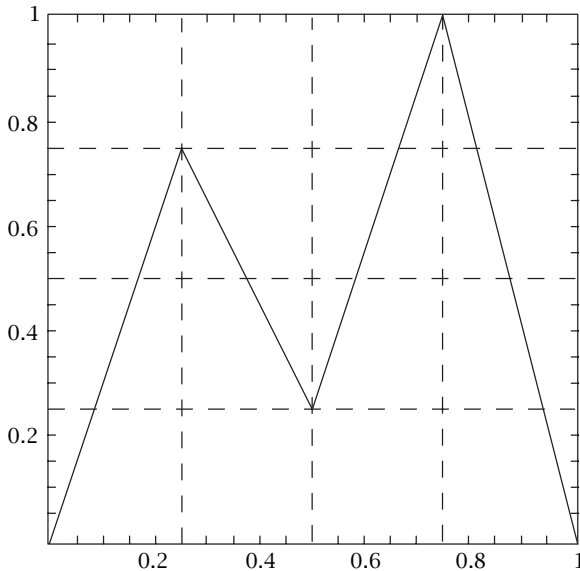


FIGURE 4.1.

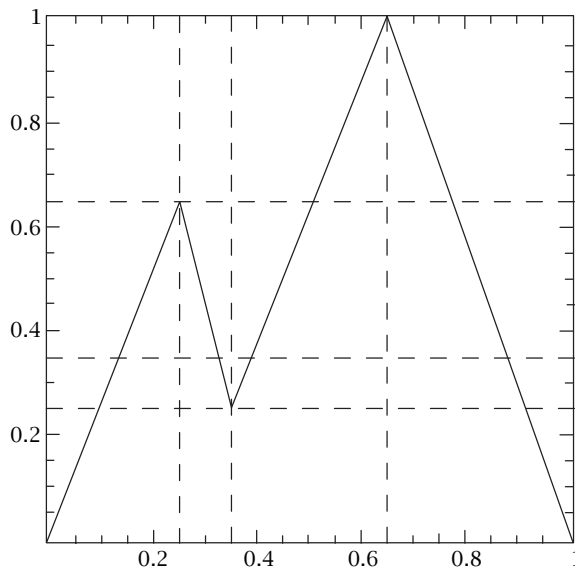


FIGURE 4.2.

Then from (4.5) we have  $b_0 = 0$ ,  $b_1 = 0.2282$ ,  $b_2 = 0.3759$ ,  $b_3 = 0.6478$ ,  $b_4 = 1$ .  $f$  is topologically conjugate to  $T'$  whose graph is shown in Figure 4.2. Since the slope of  $T'$  is constant in absolute value we have that  $h(f) = \ln s = 1.0435$ .

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